

Exhibit 300: Capital Asset Plan and Business Case Summary

Part I: Summary Information And Justification (All Capital Assets)

Section A: Overview

1. **Date of Submission:** 2010-09-17
2. **Agency:** 429
3. **Bureau:** 00
4. **Name of this Investment:** Enterprise Digital Data Management System (DDMS)
5. **Unique Project (Investment) Identifier (UPI):** 429-00-01-04-01-4005-00
6. **What kind of investment will this be in FY 2012?:** Operations and Maintenance
 - Planning
 - Full Acquisition
 - Operations and Maintenance
 - Mixed Life Cycle
 - Multi-Agency Collaboration
7. **What was the first budget year this investment was submitted to OMB?** FY2003
8.
 - a. **Provide a brief summary of the investment and justification, including a brief description of how this closes in part or in whole an identified agency performance gap, specific accomplishments expected by the budget year and the related benefit to the mission, and the primary beneficiary(ies) of the investment.**

DDMS enables the NRC to conduct adjudicatory proceedings for licensing and enforcement cases regarding nuclear power reactors and nuclear materials and for the DOE application to construct a high-level waste repository at Yucca Mountain, NV. DDMS benefits the Atomic Safety and Licensing Board (ASLB) staff and hearing participants by providing the needed hearing/document management technology/functionality and evidence presentation capability in two fixed hearing rooms (MD & NV) and makes evidentiary material available for hearings held in remote locations via a secure internet connection. DDMS is accessible via the Internet to authorized hearing participants; enables the creation and use of a comprehensive digital record by recording, storing, and displaying the text and image of documents presented in the hearing; permits access and retrieval of the entire record; allows counsel to bring electronically prepared material to the evidentiary hearing; provides real-time access to the hearing record; and supports information management during all hearing phases. The benefits include the ability to: satisfy Commission Rules and Policies; conduct efficient and effective hearings; support agency and judicial appellate processes; provide comprehensive public access to information used during the hearing; improve transparency of the NRC adjudicatory process for litigants and the public; capitalize on current/planned NRC investments. Without DDMS, conducting proceedings in a complex and lengthy paper-based environment is extremely cumbersome; costly in terms of time, storage, replication, and shipping of paper documents, larger hearing room facilities, federal FTEs, and participant/intervenor personnel needed to manage paper documents; and would significantly increase the need for manual document processing capabilities. DDMS reduces the time required to process the enormous volume of information utilized in ASLB proceedings by utilizing and processing electronic versions of documents. This increases the efficiency of the judges and participants who need to search the large and complex transcripts and exhibits that are generated during hearings. The ASLB is expecting a considerable rise in evidentiary hearings in FY 11, 12, and 13 for which DDMS will be used to process and make available all evidentiary material. The majority of these hearings will be held in non-NRC facilities, highlighting the flexibility of DDMS and the benefits of electronic document processing.
 - b. **Provide any links to relevant websites that would be useful to gain additional information on the**

investment including links to GAO and IG reports.

Title	Link
NONE	

9.

- a. Provide the date of the Agency's Executive/Investment Committee approval of this investment.
2010-08-31
- b. Provide the date of the most recent or planned approved project charter. 2002-08-12

10. Contact information?

- a. Program/Project Manager Name: *
Phone Number: *
Email: *
- b. Business Function Owner Name (i.e. Executive Agent or Investment Owner): Daniel Graser
Phone Number: *
Email: *

11. What project management qualifications does the Project Manager have? (choose only one per FAC-P/PM or DAWIA): Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.

- Project manager has been validated according to FAC-P/PM or DAWIA criteria as qualified for this investment.
- Project manager qualifications according to FAC-P/PM or DAWIA criteria is under review for this investment.
- Project manager assigned to investment, but does not meet requirements according to FAC-P/PM or DAWIA criteria.
- Project manager assigned but qualification status review has not yet started.
- No project manager has yet been assigned to this investment.

Section B: Summary of Funding (Budget Authority for Capital Assets)

1.

Table I.B.1: Summary of Funding

(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Planning:	*	*	*	*	*	*	*	*	*
Acquisition:	*	*	*	*	*	*	*	*	*
Planning & Acquisition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal Planning & Acquisition(DME):	*	*	*	*	*	*	*	*	*
Operations & Maintenance:	*	*	*	*	*	*	*	*	*
Disposition Costs (optional):	*	*	*	*	*	*	*	*	*
Operations, Maintenance, Disposition Government FTE Costs	*	*	*	*	*	*	*	*	*
Subtotal O&M and Disposition Costs (SS):	*	*	*	*	*	*	*	*	*
TOTAL FTE Costs	*	*	*	*	*	*	*	*	*
TOTAL (not including FTE costs):	*	*	*	*	*	*	*	*	*
TOTAL (including FTE costs):	*	*	*	*	*	*	*	*	*
Number of FTE represented by	*	*	*	*	*	*	*	*	*

Table I.B.1: Summary of Funding
(In millions of dollars)

(Estimates for BY+1 and beyond are for planning purposes only and do not represent budget decisions)

	PY-1 and earlier	PY 2010	CY 2011 (CY Continuing Resolution)	BY 2012	BY+1 2013	BY+2 2014	BY+3 2015	BY+4 and beyond	Total
Costs:									

2. Insert the number of years covered in the column “PY-1 and earlier”: 7

3. Insert the number of years covered in the column “BY+4 and beyond”: *

4. If the summary of funding has changed from the FY 2011 President’s Budget request, briefly explain those changes:

*

Section C: Acquisition/Contract Strategy (All Capital Assets)

1.

Table I.C.1 Contracts Table

Contract Status	Contracting Agency ID	Procurement Instrument Identifier (PIID)	Indefinite Delivery Vehicle (IDV) Reference ID	Solicitation ID	Alternative financing	EVM Required	Ultimate Contract Value (M)	Type of Contract/Task Order (Pricing)	Is the contract a Performance Based Service Acquisition (PBSA)?	Effective date	Actual or expected End Date of Contract/Task Order	Extent Competed	Short description of acquisition
Awarded	3100	NRC3301183003	GS35F4366G		*	*	\$7.8	Time and Materials	N	2006-09-15	2010-09-14	N	ENTERPRISE DIGITAL DATA MANAGEMENT SYSTEM
Awarded	3100	NRCDR2110496	GS35F4366G		*	*	\$9.3	Time and Materials	N	2010-09-15	2015-09-14	Y	Operations and Maintenance for the Digital Data Management System

2. If earned value is not required or will not be a contract requirement for any of the contracts or task orders above, explain why:

*

3.

- a. Has an Acquisition Plan been developed? If yes, please answer the questions that follow *
- b. Does the Acquisition Plan reflect the requirements of FAR Subpart 7.1 *
- c. Was the Acquisition Plan approved in accordance with agency requirements *
- d. If "yes," enter the date of approval? *
- e. Is the acquisition plan consistent with your agency Strategic Sustainability Performance Plan? *
- f. Does the acquisition plan meet the requirements of EOs 13423 and 13514? *
- g. If an Acquisition Plan has not been developed, provide a brief explanation.

*

Part II: IT Capital Investments

Section A: General

1.
 - a. Confirm that the IT Program/Project manager has the following competencies: configuration management, data management, information management, information resources strategy and planning, information systems/network security, IT architecture, IT performance assessment, infrastructure design, systems integration, systems life cycle, technology awareness, and capital planning and investment control. yes
 - b. If not, confirm that the PM has a development plan to achieve competencies either by direct experience or education.

2. Describe the progress of evaluating cloud computing alternatives for service delivery to support this investment. nrc evaluated its major investments for suitability for migration to cloud technologies and identified potential candidates. examples include cfs, cas, and fees. at this time, ddms was not identified as a "must move" service.

3. Provide the date of the most recent or planned Quality Assurance Plan 2010-08-31

4.
 - a. Provide the UPI of all other investments that have a significant dependency on the successful implementation of this investment.
 - b. If this investment is significantly dependent on the successful implementation of another investment(s), please provide the UPI(s). 429-00-01-02-01-0010-00,429-00-02-00-01-1012-00

5. An Alternatives Analysis must be conducted for all Major Investments with Planning and Acquisition (DME) activities and evaluate the costs and benefits of at least three alternatives and the status quo. The details of the analysis must be available to OMB upon request. Provide the date of the most recent or planned alternatives analysis for this investment.

6. Risks must be actively managed throughout the lifecycle of the investment. The Risk Management Plan and risk register must be available to OMB upon request. Provide the date that the risk register was last updated. 2010-08-31

Section B: Cost and Schedule Performance

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Conduct Planning	DME	*	\$0.0	\$0.0	2001-01-01	2001-01-01	2001-03-30	2001-03-20	100.00%	100.00%
Rockville Proof of Concept - Task One	DME	*	\$1.5	\$1.4	2002-08-22	2002-09-01	2003-08-31	2003-08-31	100.00%	100.00%
Rockville: Production - Task Two	DME	*	\$1.0	\$1.3	2003-09-23	2003-09-01	2005-06-30	2005-06-16	100.00%	100.00%
Rockville: A/V - Task Three	DME	*	\$0.4	\$0.5	2003-09-23	2003-09-30	2005-06-30	2005-06-30	100.00%	100.00%
Las Vegas: Production	DME	*	\$2.4	\$3.0	2004-09-30	2004-09-30	2006-06-30	2006-06-30	100.00%	100.00%
Fiscal Year 2006 and Earlier FTE Cost	DME	*	\$1.3	\$1.3	2001-01-01	2001-01-01	2006-09-30	2006-09-30	100.00%	100.00%
Enterprise DDMS O&M - Base Contract (Two Years) - O&M Support	SS	*	\$2.2	\$2.2	2006-09-15	2006-09-15	2008-09-15	2008-09-15	100.00%	100.00%
Enterprise DDMS O&M - Base Contract (Two Years) - Security Task	SS	*	\$0.3	\$0.3	2006-09-15	2006-09-15	2008-09-15	2008-09-15	100.00%	100.00%
Enterprise DDMS O&M - Optional Training Task (One Year)	SS	*	\$0.1	\$0.0	2006-11-16	2006-11-16	2007-11-16	2007-11-16	100.00%	100.00%
Fiscal Year 2007 FTE Cost - 4 @ \$137.57	SS	*	\$0.6	\$0.6	2006-10-01	2006-10-01	2007-09-30	2007-09-30	100.00%	100.00%
Enterprise DDMS O&M - Optional	SS	*	\$0.1	\$0.0	2007-11-17	2007-11-17	2008-09-15	2008-09-15	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Training Task (Through end of 2nd Base Year)										
Fiscal Year 2008 FTE Cost - 4 @ \$140.00	SS	*	\$0.6	\$0.6	2007-10-01	2007-10-01	2008-09-30	2008-09-30	100.00%	100.00%
Enterprise DDMS O&M - Option Year One - O&M Support	SS	*	\$1.2	\$0.8	2008-09-16	2008-09-16	2009-09-15	2009-09-15	100.00%	100.00%
Enterprise DDMS O&M - Option Year One - Security Task	SS	*	\$0.2	\$0.1	2008-09-16	2008-09-16	2009-09-15	2009-09-15	100.00%	100.00%
Enterprise DDMS O&M - Option Year One - Training Task	SS	*	\$0.1	\$0.0	2008-09-16	2008-09-16	2009-09-15	2009-09-15	100.00%	100.00%
Enterprise DDMS O&M - Optional Technology Refresh - RK Data Components	SS	*	\$0.5	\$0.3	2008-07-14	2008-07-18	2009-02-11	2009-03-01	100.00%	100.00%
Enterprise DDMS O&M - Optional Technology Refresh - LV Data Components	SS	*	\$0.6	\$0.2	2009-07-14	2009-06-26	2010-02-11	2010-04-05	100.00%	100.00%
Enterprise DDMS O&M - Optional Technology Refresh - Software Components	SS	*	\$0.3	\$0.4	2008-07-14	2009-06-01	2009-02-11	2010-04-05	100.00%	100.00%
Fiscal Year 2009 FTE Cost - 3 @	SS	*	\$0.4	\$0.4	2008-10-01	2008-10-01	2009-09-30	2009-09-30	100.00%	100.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
\$148.00										
Certification and Accreditation	SS	*	\$0.1	\$0.1	2009-11-01	2009-10-01	2010-07-02	2010-06-21	100.00%	99.00%
Enterprise DDMS O&M - Option Year Two - O&M Support	SS	*	\$1.2	\$1.0	2009-09-16	2009-09-16	2010-09-14	2010-09-14	99.00%	99.00%
Enterprise DDMS O&M - Option Year Two - Security Task	SS	*	\$0.2	\$0.1	2009-09-16	2009-09-16	2010-09-14		99.00%	99.00%
Enterprise DDMS O&M - Option Year Two - Training Task	SS	*	\$0.0	\$0.0	2009-09-16	2009-09-16	2010-09-14	2010-09-14	99.00%	99.00%
Fiscal Year 2010 FTE Cost - 3.4 @ \$151.00 (2.1 percent increase from FY 09)	SS	*	\$0.5	\$0.5	2009-10-01	2009-10-01	2010-09-30	2010-09-30	100.00%	100.00%
DDMS Support Contract - Task 1 - Operations and Maintenance - FIXED PRICE - Base Year	SS	*	\$0.2	\$0.1	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support Contract - Task 1 - Operations and Maintenance - FIXED PRICE - Option Year ONE	SS	*	\$0.2		2011-09-15		2012-09-14		0.00%	0.00%
DDMS Support Contract - Task 1 - Operations and Maintenance - FIXED PRICE - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
DDMS Support Contract - Task 1 - Operations and Maintenance - FIXED PRICE - Option Year THREE	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*
DDMS Support Contract - Task 1 - Operations and Maintenance - FIXED PRICE - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support Contract - Task 1a - As Needed Operations and Maintenance - T&M - Base Year	SS	*	\$0.2	\$0.2	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support Contract - Task 1a - As Needed Operations and Maintenance - T&M - Option Year ONE	SS	*	\$0.3		2011-09-15		2012-09-14		0.00%	0.00%
DDMS Support Contract - Task 1a - As Needed Operations and Maintenance - T&M - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*
DDMS Support Contract - Task 1a - As Needed Operations and Maintenance - T&M - Option	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Year THREE										
DDMS Support Contract - Task 1a - As Needed Operations and Maintenance - T&M - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support Contract - Task 2 - IT Security - T&M - Base Year	SS	*	\$0.2	\$0.1	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support Contract - Task 2 - IT Security - T&M - Option Year ONE	SS	*	\$0.2		2011-09-15		2012-09-14		0.00%	0.00%
DDMS Support Contract - Task 2 - IT Security - T&M - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*
DDMS Support Contract - Task 2 - IT Security - T&M - Option Year THREE	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*
DDMS Support Contract - Task 2 - IT Security - T&M - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support Contract - Task 3 - Hearing Support - T&M - Base Year	SS	*	\$0.5	\$0.0	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support	SS	*	\$0.8		2011-09-15		2012-09-14		0.00%	0.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Contract - Task 3 - Hearing Support - T&M - Option Year ONE										
DDMS Support Contract - Task 3 - Hearing Support - T&M - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*
DDMS Support Contract - Task 3 - Hearing Support - T&M - Option Year THREE	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*
DDMS Support Contract - Task 3 - Hearing Support - T&M - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support Contract - Task 4 - Dev and Test System - T&M - Base Year	SS	*	\$0.3	\$0.2	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support Contract - Task 4 - Dev and Test System - T&M - Option Year ONE	SS	*	\$0.3		2011-09-15		2012-09-14		0.00%	0.00%
DDMS Support Contract - Task 4 - Dev and Test System - T&M - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*
DDMS Support Contract - Task 4 - Dev and Test System - T&M -	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Option Year THREE										
DDMS Support Contract - Task 4 - Dev and Test System - T&M - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support Contract - Task 5 - Training - FIXED PRICE As Needed - Base Year	SS	*	\$0.1	\$0.0	2010-09-15	2010-09-15	2011-09-14		79.00%	79.00%
DDMS Support Contract - Task 5 - Training - FIXED PRICE As Needed - Option Year ONE	SS	*	\$0.1		2011-09-15		2012-09-14		0.00%	0.00%
DDMS Support Contract - Task 5 - Training - FIXED PRICE As Needed - Option Year TWO	SS	*	*	*	2012-09-15	*	2013-09-14	*	*	*
DDMS Support Contract - Task 5 - Training - FIXED PRICE As Needed - Option Year THREE	SS	*	*	*	2013-09-15	*	2014-09-14	*	*	*
DDMS Support Contract - Task 5 - Training - FIXED PRICE As Needed - Option Year FOUR	SS	*	*	*	2014-09-15	*	2015-09-14	*	*	*
DDMS Support	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
Contract - OPTIONAL Task 6a - Rockville Data Processing Components T&M										
DDMS Support Contract - OPTIONAL Task 6b - Las Vegas Data Processing Components T&M	SS	*	*	*	2014-10-01	*	2015-09-14	*	*	*
DDMS Support Contract - OPTIONAL Task 6c - Rockville A/V Components T&M	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
DDMS Support Contract - OPTIONAL Task 6d -Las Vegas A/V Components T&M	SS	*	*	*	2014-10-01	*	2015-09-14	*	*	*
DDMS Support Contract - OPTIONAL Task 7 -Transition FIXED PRICE	SS	*	*	*	2015-03-18	*	2015-09-14	*	*	*
GFE for Rockville Data Processing Upgrade - FIXED PRICE	SS	*	*	*	2013-04-04	*	2013-10-01	*	*	*
GFE for Las Vegas Data Processing Upgrade - FIXED PRICE	SS	*	*	*	2014-04-04	*	2014-10-01	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
GFE for Rockville A/V Component Upgrade - FIXED PRICE	SS	*	*	*	2013-04-04	*	2013-10-01	*	*	*
GFE for Las Vegas A/V Component Upgrade - FIXED PRICE	SS	*	*	*	2014-04-04	*	2014-10-01	*	*	*
DDMS Annual License Renewal's for in Use Software - Through FY 12	SS	*	\$0.2	\$0.0	2011-05-03	2011-06-09	2011-09-30		50.00%	20.00%
DDMS Annual License Renewal's for in Use Software - Through FY 13	SS	*	*	*	2012-05-03	*	2012-09-30	*	*	*
DDMS Annual License Renewal's for in Use Software - Through FY 14	SS	*	*	*	2013-05-03	*	2013-09-30	*	*	*
DDMS Annual License Renewal's for in Use Software - Through FY 15	SS	*	*	*	2014-05-03	*	2014-09-30	*	*	*
DDMS Annual License Renewal's for in Use Software - Through FY 16	SS	*	*	*	2015-05-03	*	2015-09-30	*	*	*
Fiscal Year 2011 FTE Cost - 3 @ \$150.80	SS	*	\$0.5	\$0.3	2010-10-01	2010-10-01	2011-09-30		75.00%	75.00%
Fiscal Year 2012	SS	*	\$0.5		2011-10-01		2012-09-30		0.00%	0.00%

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
FTE Cost - 3 @ \$152.30										
Fiscal Year 2013 FTE Cost - 3 @ \$156.87 (3 percent increase from FY 12)	SS	*	*	*	2012-10-01	*	2013-09-30	*	*	*
Fiscal Year 2014 FTE Cost - 3 @ \$161.58 (3 percent increase from FY 13)	SS	*	*	*	2013-10-01	*	2014-09-30	*	*	*
Fiscal Year 2015 FTE Cost - 3 @ \$166.43 (3 percent increase from FY 14)	SS	*	*	*	2014-10-01	*	2015-09-30	*	*	*
Certification and Accreditation (ATO Expiration on 7/3/2013)	SS	*	*	*	2013-01-04	*	2013-07-03	*	*	*
Fiscal Year 2014 FTE Cost For Modernization Effort @ \$161.58	DME	*	*	*	2013-10-01	*	2014-09-30	*	*	*
Fiscal Year 2015 FTE Cost For Modernization Effort @ \$166.43	DME	*	*	*	2014-10-01	*	2015-09-30	*	*	*
Modernization Effort PLANNING (Potential Replacement of Underlying Portal Software)	DME	*	*	*	2013-10-01	*	2014-03-30	*	*	*
Modernization Effort DEVELOPMENT	DME	*	*	*	2014-03-30	*	2014-08-27	*	*	*

Table II.B.1. Comparison of Actual Work Completed and Actual Costs to Current Approved Baseline:

Description of Activity	DME or SS	Agency EA Transition Plan Milestone Identifier	Planned Cost (\$M)	Actual Cost (\$M)	Planned Start Date	Actual Start Date	Planned Completion Date	Actual Completion Date	Planned Percent Complete	Actual Percent Complete
(Potential Replacement of Underlying Portal Software)										
Modernization Effort TESTING (Potential Replacement of Underlying Portal Software)	DME	*	*	*	2014-08-27	*	2014-11-25	*	*	*
Modernization Effort IMPLEMENTATION (Potential Replacement of Underlying Portal Software)	DME	*	*	*	2014-11-25	*	2015-01-24	*	*	*

2. If the investment cost, schedule, or performance variances are not within 10 percent of the current baseline, provide a complete analysis of the reasons for the variances, the corrective actions to be taken, and the most likely estimate at completion. See Operational Analysis

3. For mixed lifecycle or operations and maintenance investments an Operational Analysis must be performed annually. Operational analysis may identify the need to redesign or modify an asset by identifying previously undetected faults in design, construction, or installation/integration, highlighting whether actual operation and maintenance costs vary significantly from budgeted costs, or documenting that the asset is failing to meet program requirements. The details of the analysis must be available to OMB upon request. Insert the date of the most recent or planned operational analysis.

2010-08-31

4. Did the Operational analysis cover all 4 areas of analysis: Customer Results, Strategic and Business Results, Financial Performance, and Innovation?

yes

Section C: Financial Management Systems

Table II.C.1: Financial Management Systems			
System(s) Name	System acronym	Type of Financial System	BY Funding
*	*	*	*

Section D: Multi-Agency Collaboration Oversight (For Multi-Agency Collaborations only)

Table II.D.1. Customer Table:	
Customer Agency	Joint exhibit approval date
NONE	

Table II.D.2. Shared Service Providers		
Shared Service Provider (Agency)	Shared Service Asset Title	Shared Service Provider Exhibit 53 UPI (BY 2011)
*	*	*

Table II.D.3. For IT Investments, Partner Funding Strategies (\$millions):							
Partner Agency	Partner exhibit 53 UPI (BY 2012)	CY Monetary Contribution	CY “In-Kind” Contribution	CY Fee-for-Service	BY Monetary Contribution	BY “In-Kind” Contribution	BY Fee-for-Service
NONE							

Table II.D.4. Legacy Systems Being Replaced		
Name of the Legacy Investment of Systems	Current UPI	Date of the System Retirement
*	*	*

Section E: Performance Information

Table I.E.1a. Performance Metric Attributes

Measurement Area (For IT Assets)	Measurement Grouping (For IT Assets)	Measurement Indicator	Reporting Frequency	Unit of Measure	Performance Measure Direction	Baseline	Year Baseline Established for this measure (Origination Date)
Mission and Business Results	Judicial Hearing	% of exhibits processed electronically. A core function of DDMS is the electronic processing of exhibits. Electronically submitted documents are electronically marked as evidence, eliminating the need for exhibits to be hand stamped and rescanned.	monthly	Percentage	Increase	The investment will be used to electronically process 80% of evidentiary material in Atomic Safety and Licensing Board hearings.	2006-05-02
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	The investment will be used to electronically process 80% of evidentiary material in Atomic Safety and Licensing Board hearings.	No evidentiary hearings were held by the ASLB during FY10	Met	2010-09-17
			2011	The investment will be used to electronically process 80% of evidentiary material in Atomic Safety and Licensing Board hearings.	Pending	Not Due	2010-09-17
			2012	The investment will be used to electronically process 80% of evidentiary material in Atomic Safety and Licensing Board hearings.	Pending	Not Due	2010-09-17
Mission and Business	Judicial Hearing	% of hearings using	monthly	Percentage	Increase	Investment functionality,	2006-11-03

Results		DDMS capabilities. DDMS functionality is available for all hearings conducted by ASLBP. System use introduces efficiency to the hearing process through its evidence presentation, recoding and document management capabilities.				(e.g. evidence presentation, electronic recording, document management, etc) will be used, where applicable, in 70% of ASLBP hearings.	
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2010	Investment functionality, (e.g. evidence presentation, electronic recording, document management, etc) will be used in 70% of ASLBP hearings.	No DDMS capabilities were required for Oral arguments that were held during FY10. 100% of the HLW related hearings during FY10 did utilize the audio/video capabilities of DDMS.	Met	2011-06-30
			2011	Investment functionality, (e.g. evidence presentation, electronic recording, document management, etc) will be used in 75% of ASLBP hearings.	Pending	Not Due	2010-09-17
			2012	Investment functionality, (e.g. evidence presentation, electronic recording, document management, etc) will be used in 75% of ASLBP hearings.	Pending	Not Due	2010-09-17
Customer Results	Customer Complaints	% of participants expressing positive feedback. Ease of use is a key factor to hearings progressing in an efficient manner. System usability will ensure effective use. A user satisfaction survey will be periodically sent to a	annual	Percentage	Increase	50% participants surveyed expressed improves hearing process.	2005-01-04

random group of users.

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	80% participants surveyed expressed improves hearing process.	All hearings held by the ASLB's were oral arguments. No DDMS participants were surveyed during FY 2010.	Met	2011-06-30
2011	82% participants surveyed expressed improves hearing process.	Pending	Not Due	2010-09-17
2012	82% participants surveyed expressed improves hearing process.	Pending	Not Due	2010-09-17

Processes and Activities	Security	ATO granted. Adherence to Federal and Agency IT security standards will help ensure the confidentiality, integrity, and availability of the information DDMS processes	annual	Achieved/Not Achieved	Increase	Maintain ATO Status inclusive of triennially re-authorization	2007-09-07
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	Receive an ATO from the Agency DAA	DDMS ATO was granted on July 26, 2010.	Met	2010-09-17
2011	Maintain ATO	Pending	Not Due	2010-09-17
2012	Maintain ATO	Pending	Not Due	2010-09-17

Technology	Overall Costs	Maintain a +/- % threshold between planned and actual investment costs tracked in the 300b Cost and Schedule table. There	quarterly	Percentage	Decrease	Maintain a +/- 10% threshold between planned and actual investment costs.	2010-08-31
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are several variable cost components outside the control of the investment.

			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Planned vs Actual costs are kept within a +/- 10% threshold	Pending	Not Due	2010-09-17
			2012	Planned vs Actual costs are kept within a +/- 10% threshold	Pending	Not Due	2010-09-17
Processes and Activities	Efficiency	Make available, documents processed as exhibits during evidentiary hearings, for the ADAMS automated population routine within the specified time frame.	monthly	Hours	Increase	Export documents marked as exhibits during an evidentiary hearing within 24 hours of electronic identification	2010-08-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Exhibits processed during evidentiary hearings will be made available for the ADAMS automated population routine within 24 hours of being electronically identified	Pending	Not Due	2010-09-17
			2012	Exhibits processed during evidentiary hearings will be made available for the ADAMS automated population routine within 24 hours of being electronically identified	Pending	Not Due	2010-09-17
Technology	Availability	Number of hours the system is available. System availability outside of normal business hours is crucial	quarterly	Uptime in Hours	Increase	Maintain DDMS availability at least 22 hours each day	2007-09-07

to participants preparing for legal proceedings. Minimal downtime for system maintenance will help ensure high availability.

Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2010	Maintain DDMS availability at least 22 hours each day	DDMS has remained available at least 22 hours per day except for planned outages due to facility and system upgrades.	Met	2010-09-17
2011	Maintain DDMS availability at least 22 hours each day	Pending	Not Due	2010-09-17
2012	Maintain DDMS availability at least 22 hours each day	Pending	Not Due	2010-09-17

Technology	Data Reliability and Quality	Reduce the % of documents exported for inclusion into the official docket that require manual intervention to correct data errors.	quarterly	Percentage	Decrease	No more than 20% of the exported exhibits require manual intervention to resolve data errors.	2010-08-31
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Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
2011	No more than 20% of the exhibits processed for inclusion into the official docket require manual intervention to resolve data errors	Pending	Not Due	2010-09-17
2012	No more than 20% of the exhibits processed for inclusion into the official docket require manual intervention to resolve data errors	Pending	Not Due	2010-09-17

Technology Data Reliability and Reduce the % of quarterly Percentage Decrease No more than 20% of the 2010-08-31

	Quality	documents transmitted to DDMS that cannot be added to DDMS without manual intervention to correct data errors.				transferred documents require manual intervention to resolve data errors.	
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	No more than 20% of the documents processed require manual intervention to resolve data errors	Pending	Not Due	2010-09-17
			2012	No more than 20% of the documents processed require manual intervention to resolve data errors	Pending	Not Due	2010-09-17
Processes and Activities	Security	Reduction in time needed to resolve IT security issues. Reducing the time to resolve IT security issues identified through continuous monitoring, the confidentiality, integrity, and availability of information processed by DDMS will be protected.	quarterly	Percentage	Decrease	Mitigate IT security issues found during continuous monitoring and added to the DDMS Plan of Actions an milestones within 90 days of the planned completion date.	2010-08-31
			Fiscal Year	Target	Actual Results	Target "Met" or "Not Met"	Last Updated
			2011	Items added to the DDMS Plan of Action and Milestones are resolved no more than 90 days after original planned completion date.	Pending	Not Due	2010-09-17
			2012	Items added to the DDMS Plan of Action and Milestones are resolved no more than 90 days after original planned completion date.	Pending	Not Due	2010-09-17

* - Indicates data is redacted.